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PCT/JP2005/001284 Yasufumi SHIBATA et al. Attorney Docket No. 03284.0061

ANNEXES TO THE PRELIMINARY EXAMINATION REPORT (ARTICLE 34 AMENDMENTS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

REQUEST FOR SUBSTITUTION OF REPLACEMENT SHEETS

Please substitute the attached replacement pages 36-39 of the claims of the Article 34 Amendments for pages 36-39 of the claims in the enclosed as-filed PCT application. It is respectfully requested that the claims in the substitute pages be examined during examination of the patent application. Claims 2, 4-11, 13, and 15-23 are currently pending.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: July 28, 2006

James W. Edmondson

Reg. No. 33,871

CLAIMES:

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- 1. (canceled)
- 2. A clathrate compound represented by the following composition formula (2):

Composition formula (2) $Ba_8Au_bGa_cGe_{46-b-c}$ (5 \leq b <16/3, c = 16-3b).

- 3. (canceled)
- 4. A clathrate compound represented by the following composition formula (4):

Composition formula (4) $Ba_8Au_fGa_{6-f}Ge_{40}$ (0 < f < 6).

5. A clathrate compound represented by the following composition formula (5):

Composition formula (5) Ba₈Pt_gGe_{46-g} (4 < g < 6).

6. A clathrate compound represented by the following composition formula (6):

Composition formula (6) Ba₈Pd_hGe_{46-h} (5 < h < 6).

7. A clathrate compound represented by the following composition formula (7):

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Composition formula (7) Ba₈Pd_iGa_jGe_{46-i-j}

$$(0 \le i \le 4, j = 16-4i).$$

8. A clathrate compound represented by the following composition formula (8):

Composition formula (8) Ba₈A_kGa₁Si_{46-k-1}

$$(0 \le k \le 4, 1 = 16-4k)$$

wherein A in Composition formula (8) represents Pd or Pt.

9. A clathrate compound represented by the following composition formula (9):

Composition formula (9) Ba₈E_mGa_{6-m}Ge₄₀

wherein E in Composition formula (9) represents Cu or Ag.

10. A clathrate compound represented by the following composition formula (10):

Composition formula (10) $Ba_8G_nGa_{6-n}Ge_{40}$ (0 < n \leq 5) wherein G in Composition formula (10) represents Cu or Ag.

11. A clathrate compound represented by the following composition formula (11):

Composition formula (11) Ba₈J₀Ga_pGe_{46-0-p}

$$(0 < o < 16/3, p = 16-30)$$

wherein J in Composition formula (11) represents Cu or Ag.

- 12. (canceled)
- 13. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 2.
- 14. (canceled)
- 15. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 4.
- 16. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 5.
- 17. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 6.
- 18. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 7.
- 19. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 8.
- 20. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 9.

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- 21. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 10.
- 22. A thermoelectric conversion element comprising a sintered body of the clathrate compound of claim 11.
- 23. A method for producing a thermoelectric conversion element comprising a sintered body of a clathrate compound whose constituent atoms include Ba and Ge, the method comprising:

melting elements which are to constitute the clathrate compound so as to synthesize the clathrate compound;

heat-treating the synthesized clathrate compound at 650 to 900 °C for 50 to 250 hours;

forming particles from the heat-treated clathrate compound; and

sintering the particles.